	IN THE UNITED STATES PA	TENT AND TRADEMARK OFFICE
In re Application of:		
KRAM	ER et al.	Applications
	;	
Serial No. Not Assigned )		
		)
Filed:		)
_		
For:	USE OF LIPOIC ACID FOR IMPROVING THE BIOAVAILABILITY	
	OF MINERAL SALTS	

## PRELIMINARY AMENDMENT

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Prior to examination, kindly amend the above-identified application as follows.

### IN THE CLAIMS

Please amend the claims as shown in the attached sheets.

### REMARKS

The claims have been amended to eliminate multiple dependency. No new matter has been added. A clean copy of the claims is attached.

Entry of the above amendment is respectfully solicited.

Respectfully submitted,

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# CLEAN VERSION OF AMENDED CLAIMS - OZ 51568

- 4. The use as claimed in claim 1, wherein the combination used is metal  $\alpha$ -lipoates, metal  $\alpha$ -dihydrolipoates or metal-  $\alpha$ -lipoic acid complexes.
- 6. The use as claimed in claim 1, wherein the α-lipoic acid used is (R)-α-lipoic acid or the α-lipoate used is (R)-α-lipoate.
- 15. The use of the metal  $\alpha$ -lipoates, metal  $\alpha$ -dihydrolipoates or metal- $\alpha$ -lipoic acid complexes as claimed in claim 5 for treating diabetes, tumors, HIV infections, AIDS, renal insufficiency, malnutrition, protein-energy malnutrition and mineral deficiencies.

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## MARKED UP VERSION OF AMENDED CLAIMS

- 4. The use as claimed in claim 1 [or 2], wherein the combination used is metal  $\alpha$ lipoates, metal  $\alpha$ -dihydrolipoates or metal-  $\alpha$ -lipoic acid complexes.
- 6. The use as claimed in <u>claim 1</u> [one of claims 1 to 5], wherein the  $\alpha$ -lipoic acid used is (R)- $\alpha$ -lipoic acid or the  $\alpha$ -lipoate used is (R)- $\alpha$ -lipoate.
- 15. The use of the metal α-lipoates, metal α-dihydrolipoates or metal-α-lipoic acid complexes as claimed in claim 5 [in accordance with claim 14] for treating diabetes, tumors, HIV infections, AIDS, renal insufficiency, malnutrition, protein-energy malnutrition and mineral deficiencies.

## **CURRENT CLAIMS - CLEAN COPY - OZ 51568**

- 1. The use of  $\alpha$ -lipoic acid or  $\alpha$ -dihydrolipoic acid for increasing the bioavailability of mineral salts.
- 2. The use as claimed in claim 1, wherein at least one mineral salt is used in combination with  $\alpha$ -lipoic acid or  $\alpha$ -dihydrolipoic acid.
- 3. The use as claimed in claim 2, wherein mineral salts of the formula I are used,

$$(M)_n(B)_m$$

where

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- M is a monovalent to trivalent physiologically acceptable metal cation,
- B is a monovalent to trivalent physiologically acceptable anion,
- n is 1, 2 or 3 and
- m is 1, 2 or 3,

where the subscripts n and m correspond to the ,valency and charge equalization of the mineral salt of the formula I.

- 4. The use as claimed in claim 1, wherein the combination used is metal  $\alpha$ -lipoates, metal  $\alpha$ -dihydrolipoates or metal-  $\alpha$ -lipoic acid complexes.
- 5. The use as claimed in claim 4, wherein the combination used is metal  $\alpha$ -lipoates, metal  $\alpha$ -dihydrolipoates or metal- $\alpha$ -lipoic acid complexes of the formula II,

$$(M)_{w}(Lp)_{x}(A)_{v}(H_{2}0)_{z}$$
 II

where

- M is a monovalent to trivalent physiologically acceptable metal cation or a mixture of monovalent to trivalent physiologically acceptable metal cations,
- Lp is racemic  $\alpha$ -lipoic acid or  $\alpha$ -dihydrolipoic acid, (R)- or (S)- $\alpha$ -lipoic acid or (R)- or

## **CURRENT CLAIMS - CLEAN COPY - OZ 51568**

- (S)- $\alpha$ -dihydrolpoic acid, racemic  $\alpha$ -lipoate or dihydro- $\alpha$ -lipoate or (R)- or (S)- $\alpha$ -lipoate or (R)- or (S)-dihydro- $\alpha$ -lipoate,
- A is a physiologically acceptable monovalent or divalent anion,
- w is 1 or 2

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- x is 1, 2, 3 or 4,
- y is 0, 1, 2 or 3 and
- z is 0, 1, 2, 3, 4, 5, or 6,
  where the subscripts w, x and y correspond to the valency and charge equalization of the compound of the formula II.
- 6. The use as claimed in claim 1, wherein the α-lipoic acid used is (R)-α-lipoic acid or the α-lipoate used is (R)-α-lipoate.
- 7. A preparation comprising at least one mineral salt and (R)- $\alpha$ -lipoic acid or (S)- $\alpha$ -lipoic acid.
- 8. A metal  $\alpha$ -lipoate, metal  $\alpha$ -dihydrolipoate or metal- $\alpha$ -lipoic acid complex of the formula II',

$$(M)_{w}(Lp)_{x}(A)_{y}(H_{2}0)_{z}$$
 II'

#### where

- M is.a monovalent to trivalent physiologically acceptable metal cation or a mixture of monovalent to trivalent physiologically acceptable metal cations,
- Lp is racemic  $\alpha$ -lipoic acid or  $\alpha$ -dihydrolipoic acid, (R)- or (S)- $\alpha$ -lipoic acid or (R)- or (S)- $\alpha$ -dihydrolipoic acid, racemic  $\alpha$ -lipoate or dihydro- $\alpha$ -lipoate or (R)- or (S)- $\alpha$ -

## **CURRENT CLAIMS - CLEAN COPY - OZ 51568**

lipoate or (R)- or (S)-dihydro- $\alpha$ -lipoate,

- A is a physiologically acceptable monovalent or divalent anion,
- w is 1 or 2,

. . . .

- x is 1, 2, 3 or 4,
- y is 0, 1, 2 or 3 and
- z is 0, 1, 2, 3, 4, 5 or 6,
  where the subscripts w, x and y correspond to the valency and charge
  equalization and

the following compounds are excluded:

$$\begin{split} & \text{Mn(lip-)C1O}_4, \ \text{Cu(Lip-)C1O}_4, \ \text{Zn(Lip-)C1O}_4, \ \text{Cd(Lip-)C1O}_4, \ \text{Pb(Lip-)C1O}_4, \ \text{Hg(Lip-rac)(OH)}_2, \\ & \text{Hg(DHL}_{rac}^{2-}), \ \text{Ni(DHL}_{rac}^{2-}), \ \text{Fe}_2(\text{DHL}_{rac}^{2-})_3, \ \text{Zn(Lip-rac-)}_2(\text{H}_2\text{O})_2, \ \text{Cd(Lip-rac-)}_2(\text{H}_2\text{O})_2 \\ & \text{where} \end{split}$$

Lip is monovalent negative racemic or (R)- or (S)- $\alpha$ -lipoate,

 $Lip_{rac}^{-}$  is monovalent negative racemic  $\alpha$ -lipoate,

 $Lip_{rac}$  is racemic  $\alpha$ -lipoic acid and

DHL<sub>rac</sub><sup>2-</sup> is divalent negative racemic  $\alpha$ -dihydrolipoate.

- 9. A prepartion comprising metal  $\alpha$ -lipoates, metal  $\alpha$ -dihydrolipoates or metal- $\alpha$ -lipoic acid complexes as claimed in claim 8.
- 10. A preparation comprising metal  $\alpha$ -lipoates, metal  $\alpha$ -dihydrolipoates or metal- $\alpha$ -lipoic acid complexes as claimed in claim 5 and  $\alpha$ -lipoic acid or  $\alpha$ -dihydrolipoic acid.

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# **CURRENT CLAIMS - CLEAN COPY - OZ 51568**

- 11. The use of the metal  $\alpha$ -lipoates, metal  $\alpha$ -dihydrolipoates or metal- $\alpha$ -lipoic acid complexes as claimed in claim 5 as feedstuff or food supplements.
- 12. The use of the metal α-lipoates, metal α-dihydrolipoates or metal-α-lipoic acid complexes as claimed in claim 5 in cosmetic formulationsl
- 13. The metal  $\alpha$ -lipoate, metal  $\alpha$ -dihydrolipoate or metal- $\alpha$ -lipoic acid complex as claimed in claim 5 for use as drugs.
- 14. The use of metal  $\alpha$ -lipoates, metal  $\alpha$ -dihydrolipoates or metal- $\alpha$ -lipoic acid complexes as claimed in claim 5 for preparing a drug for treating disorders in which lipoic acid has a therapeutic or prophylactic effect and there is a mineral salt deficiency.
- The use of the metal α-lipoates, metal α-dihydrolipoates or metal-α-lipoic acid complexes as claimed in claim 5 for treating diabetes, tumors, HIV infections, AIDS, renal insufficiency, malnutrition, protein-energy malnutrition and mineral deficiencies.